

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

DONNA HINES,

Plaintiff,

v.

CALIFORNIA PUBLIC UTILITIES
COMMISSION, AROCLES AGUILAR, DANA S.
APPLING, ROBERT J. WULLENJOHN, STATE
PERSONNEL BOARD, GREGORY W. BROWN and
FLOYD D. SHIMOMURA,

Defendants.

No. C 07-04145 CW

ORDER GRANTING
DEFENDANT CALIFORNIA
PUBLIC UTILITIES
COMMISSION'S MOTION
FOR SUMMARY JUDGMENT
AND DENYING
PLAINTIFF'S MOTION
FOR RULE 56(f)
CONTINUANCE AND
MOTION FOR SUMMARY
JUDGMENT
(Docket Nos. 284,
348 and 358)

Plaintiff Donna Hines, who is proceeding pro se, charges Defendant California Public Utilities Commission (CPUC) with race discrimination and retaliation in violation of Title VII of the Civil Rights Act of 1964. The CPUC moves for summary judgment on Plaintiff's claims. Plaintiff opposes the CPUC's motion and moves for a continuance under Federal Rule of Civil Procedure 56(f). She also cross-moves for summary judgment. The CPUC opposes Plaintiff's motions. The motions were taken under submission on the papers. Having considered the papers submitted by the parties, the Court GRANTS the CPUC's motion for summary judgment and DENIES Plaintiff's motion for a continuance and motion for summary

1 judgment.

2 BACKGROUND

3 I. Factual Background

4 Plaintiff is an African-American woman. She began working for
5 the CPUC in June, 2002 and continues to be employed as a Public
6 Utilities Regulatory Analyst (PURA).

7 A. Plaintiff's Personnel History and Positions To Which She
8 Applied

9 PURA positions are classified into levels. An analyst's
10 responsibilities increase at each successive classification level.

11 Plaintiff was hired at the PURA-II level. Approximately one
12 year later, she was promoted to the PURA-III level through the
13 "promotion-in-place procedure." Lee Decl. ¶ 2. Under this
14 procedure, which applies to PURA levels I through III, an analyst
15 may be promoted to a higher classification after achieving a
16 sufficiently high rank on the required state civil service
17 examination.

18 From August, 2005 through May, 2007, Plaintiff applied for
19 nine positions at the PURA-IV and PURA-V levels. Unlike with
20 promotions within the lower PURA levels, advancements to these
21 positions entail successful completion of the CPUC's competitive,
22 multi-step application process.

23 At the first step, candidates take a civil service eligibility
24 examination, which evaluates their "knowledge, skills and abilities
25 to perform specific tasks at the level of the desired
26 classification." Lee Decl. ¶ 7. These examinations have a written
27 and an oral component. The written portion is graded "blindly,"
28 which means that the graders do not know the identities of the

1 examinees. Id. ¶ 8. The raw scores are then adjusted based on a
2 scale set by the California State Personnel Board (SPB) and
3 assigned a rank. Candidates who score in the top three ranks on an
4 exam are deemed "reachable," making them eligible to apply for
5 positions in the level for which they tested. Id. ¶¶ 9 and 10.

6 At the second step, candidates apply for particular positions.
7 To do so, they submit an STD-678, a standard form on which
8 candidates detail their employment and education history, and a
9 Statement of Qualifications (SOQ). A panel of two to three raters
10 evaluates the SOQs, taking into consideration "standardized rating
11 criteria." Lee Decl. ¶ 11. The candidate with the highest SOQ
12 score, relative to the others, is offered the position. "The
13 contents of a candidate's personnel file are not reviewed by those
14 responsible for selecting candidates through this process." Id.

15 Plaintiff took PURA-IV and PURA-V eligibility exams on July
16 11, 2005 and March 29, 2006 respectively. Based on her rank on
17 these exams, she was eligible to apply for positions in these
18 classifications.¹

19 On August 15, 2005, Plaintiff applied for three positions at
20 the PURA-IV level. For the first position, which was in the
21 Communications Division, Michael Amato and Phyllis White rated
22 Plaintiff's SOQ at 4. The CPUC selected Eric Van Wambeke, whose
23

24 ¹ Plaintiff appears to object to the evidence contained in the
25 Declaration of Grant Lee concerning her applications for these
26 positions. She asserts that Mr. Lee's "oath" is deficient because
27 he does not affirm that "(1) all material facts regarding the
28 position[s] have been produced via discovery and are before the
Court; (2) that no material facts regarding the job application[s]
have been omitted, modified and/or altered since its
creation" Opp'n at 13-14. No such oath is necessary for
evidence to be admissible.

1 SOQ received a 6. For the second position, which was also in the
2 Communications Division, Michael Amato and Cherrie Conner rated
3 Plaintiff's SOQ at 5. The CPUC selected Sue Wong, whose SOQ
4 received a 6. For the third position, which was in the Energy
5 Division, Colette Kersten and Richard Meyers rated Plaintiff's SOQ
6 at 8. The CPUC selected Keith White, whose SOQ received a 9.

7 On March 3, 2006, Plaintiff applied for a PURA-IV position in
8 the Division of Ratepayer Advocates. Christopher Danforth and
9 Joseph Abhulimen rated Plaintiff's SOQ at 4. Theodore Geilen, who
10 scored a 6, was offered the position.

11 On May 1, 2006, Plaintiff applied for a PURA-IV position in
12 the Division of Strategic Planning. Julie Fitch and Laura Doll
13 rated Plaintiff's SOQ at 93 and invited her to interview. She
14 scored a 16 on her interview. Andrew Schwartz, who received a 120
15 on his SOQ and a 23 on his interview, was offered the position.

16 On July 11, 2006, Plaintiff applied for a PURA-V position in
17 the Energy Division. Natalie Walsh and Robert Strauss rated
18 Plaintiff's SOQ at 6. Matthew Deal, whose SOQ scored a 9, was
19 offered the position.

20 On November 2, 2006, Plaintiff applied for another PURA-V
21 position in the Energy Division. Judith Ilké and Robert Strauss
22 rated Plaintiff's SOQ. She earned a total score of 4, with an
23 average score of 2 from each rater. Scott Murtishaw and Wade
24 McCartney were the successful candidates. Murtishaw earned a total
25 score of 9, with an average score of 4.5 from each rater; McCartney
26 earned a total score of 8, with an average score of 4 from each
27 rater.

28 On April 17, 2007, Plaintiff applied for a PURA-V position in

1 the Division of Ratepayer Advocates (DRA). Mark Bumgardner and
2 Clayton Tang rated Plaintiff's SOQ and she received a total score
3 of 19. Dao Phan, who received a total score of 27, was offered the
4 position.

5 Finally, on May 17, 2007, Plaintiff applied for a PURA-V
6 position in the Division of Strategic Planning. Julie Fitch and
7 Laura Doll rated Plaintiff's SOQ at a total score of 81. Simon
8 Baker, whose SOQ received a 140, was offered the position.

9 During this same period, Plaintiff sought a Rotational Advisor
10 position. In these positions, which are short-term assignments,
11 employees are "on loan" to CPUC Commissioners' offices. Mattias
12 Decl. ¶ 2. On September 1, 2006, Plaintiff applied for such a
13 position with Commissioner Peevey. Plaintiff was not a finalist
14 for the position. Andrew Schwartz was selected.

15 B. Complaints Filed with the California State Personnel
16 Board, the U.S. Equal Employment Opportunity Commission
17 (EEOC) and the California Department of Fair Employment
and Housing (DFEH)

18 On February 23, 2006, Plaintiff filed a complaint with the
19 SPB, claiming that her supervisor at that time, Robert Wollenjohn,
20 retaliated against her for whistleblowing.² On or after January
21 18, 2007, the SPB dismissed Plaintiff's complaint.

22
23 ² As it has in prior orders, the Court takes judicial notice
24 of the contents of the SPB decision, but not for the truth of the
25 facts stated therein. See Intri-Plex Techs., Inc. v. Crest Group,
26 Inc., 499 F.3d 1048, 1052 (9th Cir. 2007) (court may take judicial
27 notice of facts not reasonably subject to dispute, either because
28 they are generally known, are matters of public record or are
capable of accurate and ready determination); see also Fed. R.
Evid. 201. Plaintiff states that "the January 18, 2007 SPB
decision is not a reliable legal source on which the Court may rule
for Summary Judgment." Opp'n at 9. To the extent that this is an
objection, it is OVERRULED for the reasons stated herein.

1 In or around July, 2006, Plaintiff filed a charge with the
2 DFEH, alleging racial discrimination and retaliation. She
3 requested a right-to-sue letter on January 19, 2007, which the DFEH
4 issued on or around March 1, 2007. See 2d Am. Compl (2AC), Ex. A;
5 Coffman Decl., Ex. 5 at AGO-0439.

6 On or about March 21, 2007, Plaintiff filed a charge with the
7 EEOC and the DFEH, alleging retaliation. On or about May 18, 2007,
8 the EEOC issued Plaintiff a right-to-sue letter.

9 II. Procedural History

10 Plaintiff initiated this action on August 17, 2007, asserting
11 claims against the CPUC; Arocles Aguilar, an attorney for the CPUC;
12 Dana Appling, the director of the DRA; and Robert J. Wullenjohn,
13 her former supervisor. She filed an amended complaint on December
14 13, 2007, adding as Defendants the SPB; Gregory W. Brown, the
15 administrative law judge who presided over her SPB case; and Floyd
16 D. Shimomura, the former executive director of the SPB
17 (collectively, SPB Defendants). The Court subsequently dismissed
18 Plaintiff's amended complaint with leave to amend (Docket No. 98).

19 Plaintiff filed her second complaint on July 31, 2008. On
20 February 3, 2009, the Court dismissed most of Plaintiff's claims
21 with prejudice (Docket No. 117). The SPB Defendants sought the
22 entry of judgment pursuant to Federal Rule of Civil Procedure
23 54(b), which the Court denied.

24 The remaining Defendant is the CPUC, against which Plaintiff
25 has two extant claims: (1) a Title VII racial discrimination claim
26 for failing to promote her and (2) a Title VII claim for
27 "stripping" her performance review from her personnel file in
28 retaliation for this lawsuit.

LEGAL STANDARD

Summary judgment is properly granted when no genuine and disputed issues of material fact remain, and when, viewing the evidence most favorably to the non-moving party, the movant is clearly entitled to prevail as a matter of law. Fed. R. Civ. P. 56; Celotex Corp. v. Catrett, 477 U.S. 317, 322-23 (1986); Eisenberg v. Ins. Co. of N. Am., 815 F.2d 1285, 1288-89 (9th Cir. 1987).

The moving party bears the burden of showing that there is no material factual dispute. Therefore, the court must regard as true the opposing party's evidence, if supported by affidavits or other evidentiary material. Celotex, 477 U.S. at 324; Eisenberg, 815 F.2d at 1289. The court must draw all reasonable inferences in favor of the party against whom summary judgment is sought. Matsushita Elec. Indus. Co. v. Zenith Radio Corp., 475 U.S. 574, 587 (1986); Intel Corp. v. Hartford Accident & Indem. Co., 952 F.2d 1551, 1558 (9th Cir. 1991).

Material facts which would preclude entry of summary judgment are those which, under applicable substantive law, may affect the outcome of the case. The substantive law will identify which facts are material. Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 248 (1986).

Where the moving party does not bear the burden of proof on an issue at trial, the moving party may discharge its burden of production by either of two methods. Nissan Fire & Marine Ins. Co., Ltd., v. Fritz Cos., Inc., 210 F.3d 1099, 1106 (9th Cir. 2000).

The moving party may produce evidence negating an

1 essential element of the nonmoving party's case, or,
2 after suitable discovery, the moving party may show that
3 the nonmoving party does not have enough evidence of an
essential element of its claim or defense to carry its
ultimate burden of persuasion at trial.

4 Id.

5 If the moving party discharges its burden by showing an
6 absence of evidence to support an essential element of a claim or
7 defense, it is not required to produce evidence showing the absence
8 of a material fact on such issues, or to support its motion with
9 evidence negating the non-moving party's claim. Id.; see also
10 Lujan v. Nat'l Wildlife Fed'n, 497 U.S. 871, 888 (1990); Bhan v.
11 NME Hosps., Inc., 929 F.2d 1404, 1409 (9th Cir. 1991). If the
12 moving party shows an absence of evidence to support the non-moving
13 party's case, the burden then shifts to the non-moving party to
14 produce "specific evidence, through affidavits or admissible
15 discovery material, to show that the dispute exists." Bhan, 929
16 F.2d at 1409.

17 If the moving party discharges its burden by negating an
18 essential element of the non-moving party's claim or defense, it
19 must produce affirmative evidence of such negation. Nissan, 210
20 F.3d at 1105. If the moving party produces such evidence, the
21 burden then shifts to the non-moving party to produce specific
22 evidence to show that a dispute of material fact exists. Id. at
23 1103.

24 Where the moving party bears the burden of proof on an issue
25 at trial, it must, in order to discharge its burden of showing that
26 no genuine issue of material fact remains, make a prima facie
27 showing in support of its position on that issue. UA Local 343 v.
28 Nor-Cal Plumbing, Inc., 48 F.3d 1465, 1471 (9th Cir. 1994). That

1 is, the moving party must present evidence that, if uncontroverted
 2 at trial, would entitle it to prevail on that issue. Id.; see also
 3 Int'l Shortstop, Inc. v. Rally's, Inc., 939 F.2d 1257, 1264-65 (5th
 4 Cir. 1991). Once it has done so, the non-moving party must set
 5 forth specific facts controverting the moving party's prima facie
 6 case. UA Local 343, 48 F.3d at 1471. The non-moving party's
 7 "burden of contradicting [the moving party's] evidence is not
 8 negligible." Id. This standard does not change merely because
 9 resolution of the relevant issue is "highly fact specific." See id.

10 DISCUSSION

11 I. The CPUC's Motion for Summary Judgment

12 A. Discrimination Claim

13 1. Applicable Law

14 In disparate treatment cases, plaintiffs can prove intentional
 15 discrimination through direct or indirect evidence. "Direct
 16 evidence is evidence which, if believed, proves the fact of
 17 discriminatory animus without inference or presumption." Godwin v.
 18 Hunt Wesson, Inc., 150 F.3d 1217, 1221 (9th Cir. 1998) (citation
 19 and internal quotation and editing marks omitted).

20 Because direct proof of intentional discrimination is rare,
 21 such claims may be proved circumstantially. See Dominguez-Curry v.
 22 Nev. Transp. Dep't, 424 F.3d 1027, 1037 (9th Cir. 2005). To do so,
 23 plaintiffs must satisfy the burden-shifting analysis set out by the
 24 Supreme Court in McDonnell Douglas Corp. v. Green, 411 U.S. 792,
 25 802 (1973), and Texas Dept. of Community Affairs v. Burdine, 450
 26 U.S. 248 (1981). Dominguez-Curry, 424 F.3d at 1037. Within this
 27 framework, plaintiffs may establish a prima facie case for
 28 discrimination based on a failure to promote by reference to

1 circumstantial evidence; to do so, plaintiffs must show that they
2 are members of a protected class; that they applied for and were
3 qualified for the position they were denied; that they were
4 rejected despite their qualifications; and that the position was
5 filled with an employee not of their class. Id. (citing McDonnell
6 Douglas, 411 U.S. at 802). Once plaintiffs establish a prima facie
7 case, a presumption of discriminatory intent arises. Dominquez-
8 Curry, 424 F.3d at 1037. To overcome this presumption, defendants
9 must come forward with a legitimate, non-discriminatory reason for
10 the employment decision. Id. If defendants provide that
11 explanation, the presumption disappears and plaintiffs must satisfy
12 their ultimate burden of persuasion that defendants acted with
13 discriminatory intent. Id.

14 To survive summary judgment then, plaintiffs must introduce
15 evidence sufficient to raise a genuine issue of material fact as to
16 whether the reason defendants articulated is a pretext for
17 discrimination. Plaintiffs may rely on the same evidence used to
18 establish a prima facie case or put forth additional evidence. See
19 Coleman v. Quaker Oats Co., 232 F.3d 1271, 1282 (9th Cir. 2000);
20 Wallis v. J.R. Simplot Co., 26 F.3d 885, 892 (9th Cir. 1994).

21 "[I]n those cases where the prima facie case consists of no more
22 than the minimum necessary to create a presumption of
23 discrimination under McDonnell Douglas, plaintiff has failed to
24 raise a triable issue of fact." Wallis, 26 F.3d at 890. When
25 plaintiffs present direct evidence that the proffered explanation
26 is a pretext for discrimination, "very little evidence" is required
27 to avoid summary judgment. EEOC v. Boeing Co., 577 F.3d 1044, 1049
28 (9th Cir. 2009). In contrast, when plaintiffs rely on

1 circumstantial evidence, "that evidence must be specific and
2 substantial to defeat the employer's motion for summary judgment.'" Id. (quoting Coghlan v. Am. Seafoods Co. LLC, 413 F.3d 1090, 1095
3 (9th Cir. 2005)).

4
5 The Ninth Circuit has instructed that district courts must be
6 cautious in granting summary judgment for employers on
7 discrimination claims. See Lam v. Univ. of Hawai'i, 40 F.3d 1551,
8 1564 (9th Cir. 1994) ("We require very little evidence to survive
9 summary judgment' in a discrimination case, 'because the ultimate
10 question is one that can only be resolved through a "searching
11 inquiry" -- one that is most appropriately conducted by the
12 factfinder.'" (quoting Sischo-Nownejad v. Merced Cmty. Coll.
13 Dist., 934 F.2d 1104, 1111 (9th Cir. 1991)).

14 2. Analysis

15 The CPUC seeks summary judgment on the ground that Plaintiff
16 cannot establish a prima facie case because she was not qualified
17 for the positions for which she applied and she does not offer
18 evidence to support an inference of discrimination. Even if she
19 had, the CPUC contends, Plaintiff fails to create a triable issue
20 on whether its proffered reasons were pretextual.

21 Plaintiff makes out a prima facie case of racial
22 discrimination. The CPUC contends that Plaintiff was not qualified
23 for her position because the other candidates scored higher on
24 their "Statement of Qualifications . . . and/or oral interviews."
25 Reply at 1. However, this evidence goes to the CPUC's non-
26 discriminatory reasons for not promoting Plaintiff. See Dominquez-
27 Curry, 424 F.3d at 1037 (stating that prima facie case was met even
28 though defendant claimed that another candidate was "more

1 qualified"). If this evidence were to be considered at the first
2 stage of the McDonnell Douglas analysis, it would blur the
3 distinction between a plaintiff's burden to demonstrate
4 qualifications and the employer's responsibility to offer a
5 legitimate basis for not promoting the plaintiff. Moreover, at the
6 prima facie stage, a plaintiff's burden "is 'minimal and does not
7 even need to rise to the level of a preponderance of the
8 evidence.'" Id. (quoting Lyons v. England, 307 F.3d 1092, 1112
9 (9th Cir. 2002)). It is undisputed that Plaintiff ranked
10 sufficiently high on her civil service examinations to be eligible
11 to apply for the positions; thus, Plaintiff was qualified for
12 purposes of her prima facie showing. Further, there is no evidence
13 that any of the positions were filled by African-Americans.
14 Consequently, Plaintiff satisfies her initial burden.

15 The CPUC provides evidence that Plaintiff was not promoted
16 because the other candidates were more qualified, which shifts the
17 burden back to Plaintiff to offer evidence that supports an
18 inference that this non-discriminatory reason was pretextual. She
19 offers multiple theories, all of which are unavailing.

20 Plaintiff contends that the CPUC's application process for
21 PURA-IV and PURA-V positions "lacks statutory authority." Opp'n at
22 12. In particular, she asserts that no statute or regulation
23 authorizes the use of an SOQ, that the CPUC fails to consider
24 information included on the STD-678 form in "willful non-compliance
25 and/or circumvention of California Civil Service requirements" and
26 that "the SOQ process enjoys no review from independent State
27 agencies charged with responsibility for oversight of Defendants'
28 personnel policies." Opp'n at 12-13. Even if these criticisms

1 were well-taken,³ they do not provide evidence that the CPUC's
2 reasons were false, which is the relevant inquiry at the pretext
3 stage. Courts "only require that an employer honestly believed its
4 reason for its actions, even if its reason is 'foolish or trivial
5 or even baseless.'" Villiarimo v. Aloha Island Air, Inc., 281 F.3d
6 1054, 1063 (9th Cir. 2002) (quoting Johnson v. Nordstrom, Inc., 260
7 F.3d 727, 733 (7th Cir. 2001)). The statutory basis and level of
8 governmental oversight of the application process offer no insight
9 into the veracity of the CPUC's proffered reason or whether those
10 making personnel decisions harbored discriminatory animus.

11 Plaintiff next raises issues concerning her May, 2006
12 application to a PURA-IV position in the Division of Strategic
13 Planning. She cites a memorandum on the "Justification for PURA IV
14 offer in DSP," authored by Ms. Fitch, which states that Mr.
15 Schwartz "placed in SPB Rank 2 in the examination process, which is
16 higher than any of the other candidates." Lee Decl., Ex. L at
17 DH003524. Plaintiff asserts and offers evidence that she also
18 placed at SPB Rank 2 for this exam, which is inconsistent with Ms.
19 Fitch's statement that Mr. Schwartz had the highest score.
20 Although the memorandum appears incorrect on this point, this
21 discrepancy is immaterial to whether Plaintiff was discriminated
22 against on the basis of race. At worst, Ms. Fitch made a factual
23 error. However, as noted above, even a baseless reason, so long as
24 it is not tethered to discriminatory animus, is sufficient to

25
26 ³ Plaintiff offers no reason to believe that the CPUC's
27 application process is unlawful. The CPUC uses the STD-678 form in
28 accordance with California law. See Cal. Gov. Code §§ 18720-
18720.5. Further, Plaintiff does not cite any authority limiting
the CPUC's review of an applicant to the information contained on
the STD-678.

1 dispel the presumption of discrimination created by a plaintiff's
2 prima facie case.

3 In response, Plaintiff suggests that Ms. Fitch harbors
4 discriminatory animus. However, she fails to offer any admissible
5 evidence to support her assertion. She cites her deposition
6 testimony, in which she recounted a "water cooler conversation" she
7 had with Roosevelt Grant, who apparently reported to Ms. Fitch.
8 Hines Decl., Attachment G at 351:18-352:1. According to Plaintiff,
9 Mr. Grant, who is African-American, did not have a positive
10 experience working for Ms. Fitch. In her conversation with him
11 about this issue, Plaintiff states that "both race and gender came
12 up," although she was "not sure whether if [it was] more of a
13 gender issue than the race issue or vice versa." Id. at 359:11-15.
14 Even if this testimony were not inadmissible hearsay,⁴ it is
15 neither direct nor specific and substantial circumstantial evidence
16 of a pretext for discrimination. Plaintiff does not identify acts
17 taken by Ms. Fitch against Mr. Grant that would lend credence to
18 his belief that his negative experience was driven by
19 discriminatory animus; mere speculation is not sufficient to create
20 a triable issue. Villiarimo, 281 F.3d at 1065 n.10. Furthermore,
21 Ms. Fitch apparently hired Mr. Grant, which further diminishes any
22 inference that she harbored discriminatory animus. See Coghlan,
23 413 F.3d at 1096. Finally, Plaintiff stated that she had not
24 experienced any discriminatory animus from Ms. Fitch; her

25
26 ⁴ The CPUC objects to this testimony as hearsay. Mr. Grant
27 did not submit a declaration in support of Plaintiff's opposition.
28 Because these statements are proffered for the truth of the matter
asserted, the Court SUSTAINS the CPUC's objection. Fed. R. Evid.
802.

1 speculation "that there may be -- conscious or otherwise -- some
2 element of racial bias" on the part of Ms. Fitch does not save her
3 claim. Hines Decl., Attachment G at 353:10-16.

4 Plaintiff also points to the hiring decisions concerning PURA-
5 V positions in the Energy Division, for which she applied in
6 November, 2006. She asserts that, although a memo indicated that
7 four positions were open, only two were filled; she complains "that
8 she was never notified of the outcome or status of those
9 unfilled . . . positions." Opp'n at 17. She argues that
10 "Defendants disregarded her candidacy, and continued to seek
11 applications of her qualifications (or possibly less) to fill those
12 vacancies." Id. This does not create a genuine issue of material
13 fact concerning pretext. Plaintiff offers no evidence to support
14 her assertion that the CPUC unlawfully dismissed her application
15 and is continuing to search for candidates, three-and-a-half years
16 after the openings were announced. Moreover, that the CPUC
17 announced the availability of four positions and only filled two,
18 without more, does not support an inference that Plaintiff was
19 denied a promotion based on her race.

20 Also, concerning these positions, Plaintiff complains that Mr.
21 Murtishaw, who was one of the two hired, was placed in SPB rank
22 four based on his civil service exam, whereas Plaintiff was placed
23 in SPB rank three; she asserts that "Defendants continue to use SPB
24 ranking as a criteria [sic], but 'jump over' Plaintiff, to award to
25 a lower ranked candidate."⁵ Opp'n at 17. As noted above, the SPB

27 ⁵ These SPB ranks are assigned in descending order: the
28 highest scores on the civil service exam are placed in the first
(continued...)

rank is used only to determine a candidate's eligibility to apply; the highest SOQ score controls whether the candidate is selected. Mr. Murtishaw received a 4.5 on his SOQ, whereas Plaintiff received a lower score of 2. Even if there were any inconsistencies,⁶ Plaintiff proffers no evidence to suggest that the CPUC's proffered reason was false or that she was denied a position because of her race.

Plaintiff offers no evidence to create a reasonable inference that any CPUC employee harbored discriminatory animus. Indeed, Plaintiff conceded at her deposition that she did not experience any racial animus by any of the raters involved with her applications. Coffman Decl., Hines Depo. at 162:24-163:3; 259:5-14. Accordingly, the Court grants summary judgment in favor of the CPUC on Plaintiff's Title VII claim for race discrimination.

B. Retaliation Claim

Courts apply the McDonnell Douglas burden shifting test to Title VII retaliation claims. Villiarimo, 281 F.3d at 1064. To make out a prima facie case for retaliation, plaintiffs must show that (1) they engaged in a protected activity, (2) they suffered an adverse employment decision and (3) there was a causal link between

⁵(...continued)
rank. Thus, Plaintiff's rank of 3 shows that she achieved a higher score than Murtishaw on the exam.

⁶ The CPUC asserts that, even though Mr. Murtishaw was placed in SPB rank four based on his civil service exam, he was nevertheless eligible to apply, notwithstanding the general practice to take only those candidates in the first, second or third ranks. This was because "candidates who score in Rank 4 may become list-eligible if candidates in tier 1 'clear' the eligibility list by choosing or declining other promotional opportunities, thereby allowing the Rank 4 candidates to move up into the top tier." Reply at 11.

1 their activity and the employer's decision. Id.

2 As explained above, the gravamen of Plaintiff's claim is that,
3 in retaliation for filing this lawsuit, her personnel file was
4 "stripped" of one page of her May, 2007 performance evaluation.⁷
5 The CPUC argues that it is entitled to summary judgment because
6 Plaintiff does not create a triable issue concerning causation.

7 As an initial matter, there is no evidence that any page was
8 "stripped" from her personnel file. Plaintiff testified at her
9 deposition that she could not "confirm with conviction" that the
10 page at issue was ever part of her file. Coffman Decl., Hines
11 Depo. 147:20-148:3. Even if the page had been a part of her file
12 and had subsequently been removed, Plaintiff does not demonstrate
13 that this constituted or resulted in an adverse employment action.
14 Plaintiff was denied a PURA-V position after the alleged removal;
15 however, as noted above, applying for this position did not entail
16 a review of her personnel file and, thus, the "stripping" of any
17 page would have been immaterial. Citing section 250 of title 2 of
18 the California Code of Regulations and a 2004 SPB memorandum,
19 Plaintiff asserts that, because civil service appointments must be
20 based in part on a candidate's fitness for the position, a
21 "reasonable trier of fact could infer that test of 'fitness'
22 includes a current and positive staff performance evaluation, as a
23 prerequisite to promotion within civil service ranks." Opp'n at 6.

24
25 ⁷ Plaintiff presents arguments concerning other alleged
26 retaliatory acts which occurred prior to the initiation of this
27 lawsuit. These arguments are irrelevant. In its prior order, the
28 Court dismissed with prejudice any claim for retaliation based on
events that transpired prior to the initiation of this action.
Even if these acts remained part of Plaintiff's claim for
retaliation, she fails to create a causal link between them and
protected activity.

1 However, neither section 250 nor the memorandum is inconsistent
2 with the CPUC's assertion that it does not consider personnel files
3 in decisions involving PURA-V positions. The CPUC asserts that its
4 decisions to promote eligible candidates are based on the SOQs.

5 Even if she had demonstrated an adverse employment action,
6 Plaintiff fails to support an inference that the action was
7 undertaken in retaliation for this lawsuit. She does not even
8 identify who purportedly removed the page. She contends that Mr.
9 Abhulimen, who authored the evaluation as her supervisor, "was
10 aware of her qualifications and interest in candidacy for
11 promotion, as early as two years prior to filing this present
12 action." Opp'n at 7-8. However, even if he were the person who
13 removed the page, Plaintiff points to no evidence that Mr.
14 Abhulimen knew of this lawsuit and retaliated against her therefor.

15 To the extent that Plaintiff argues that Mr. Abhulimen
16 retaliated based on her SPB complaint, her Title VII retaliation
17 claim would fail nevertheless. As noted above, before the SPB,
18 Plaintiff alleged retaliation for her whistleblowing activity; this
19 cannot support a Title VII retaliation claim. See 42 U.S.C.
20 § 2000e-3(a). Further, Plaintiff does not create a triable issue
21 concerning causation. She claims that the page was removed
22 sometime after October, 2007; her SPB case was dismissed in
23 January, 2007. Plaintiff offers no direct evidence of a causal
24 link and the nine months that elapsed between the end of her SPB
25 case and the earliest the page could have been removed is too
26 attenuated to support any inference of causation.

27 Plaintiff also argues that the "'trigger event' that motivates
28 Defendants' retaliatory conduct dates back to Plaintiff's

1 relationship with Mr. Wullenjohn and subsequent filing of charges
2 of retaliation and racial discrimination with the SPB." Opp'n at
3 8. This argument is unavailing. Plaintiff offers no evidence that
4 Mr. Wullenjohn was involved in the alleged retaliatory conduct.
5 And, as already explained, Plaintiff cannot avoid summary judgment
6 based on a theory of retaliation based on her SPB complaint.

7 Finally, Plaintiff suggests that Ms. Appling, the director of
8 the division in which Plaintiff worked, "would not be pleased with
9 Plaintiff's additional action in processing complaints . . . with
10 this present Court." Opp'n at 8. She cites Mr. Wollenjohn's
11 testimony from the SPB proceedings, in which he discussed a note
12 sent by Plaintiff to Ms. Appling criticizing her prior supervisor
13 and stated that Ms. Appling "didn't want to see these kinds of
14 notes." Pl.'s RJN of Nov. 26, 2007, Appx. H at 75:2-17. However,
15 the fact that Ms. Appling did not want to see notes of the kind
16 Plaintiff sent her in September, 2004 does not support an inference
17 that Ms. Appling retaliated against her for this lawsuit in or
18 around October, 2007. Plaintiff offers no other direct or
19 circumstantial evidence of retaliatory causation.

20 Plaintiff does not make out a prima facie case for
21 retaliation. Consequently, summary judgment in favor of the CPUC
22 is appropriate on Plaintiff's Title VII retaliation claim.

23 C. Plaintiff's Motion for Continuance

24 Rule 56(f) of the Federal Rules of Civil Procedure provides
25 that the court may deny or continue a motion for summary judgment
26 "[i]f a party opposing the motion shows by affidavit that, for
27 specified reasons, it cannot present facts essential to justify its
28 opposition." The requesting party must show (1) it has set forth

1 in affidavit form the specific facts it hopes to elicit from
2 further discovery, (2) the facts sought exist and (3) the sought-
3 after facts are essential to oppose summary judgment. Family Home
4 & Fin. Ctr., Inc. v. Fed. Home Loan Mortg. Corp., 525 F.3d 822, 827
5 (9th Cir. 2008).

6 On May 20, 2010, Plaintiff filed a "Motion for Continuance of
7 Hearing on Defendants' Motion for Summary Adjudication of Issues."
8 She contended that there was an ongoing dispute concerning the
9 CPUC's failure to respond to discovery propounded on April 30,
10 2010, the fact discovery deadline. However, on May 20, 2010,
11 Magistrate Judge Edward M. Chen denied Plaintiff's request for an
12 order compelling the CPUC to respond, finding Plaintiff's discovery
13 request untimely. (Docket No. 347.)

14 Plaintiff does not offer any other basis to justify a Rule
15 56(f) continuance. Accordingly, her motion is denied and summary
16 judgment granted in favor of the CPUC on all of her claims.

17 II. Plaintiff's Motion for Summary Judgment

18 Plaintiff argues that she is entitled to summary judgment on
19 grounds that the CPUC's reliance on the SOQ constitutes an
20 "unlawful employment practice," that the failure to give proper
21 weight to the STD-678 demonstrates a "practice of institutional
22 fraud against the State" and that she provides other sufficient
23 direct and indirect evidence to obviate the need for trial. Pl.'s
24 Mot. for Summ. J. at 6-10.

25 Plaintiff fails to create a triable issue on her
26 discrimination and retaliation claims, let alone to show that she
27 is entitled to judgment as a matter of law. Her attacks on the
28 CPUC's selection process do not demonstrate that she was

1 discriminated against on the basis of race or faced retaliation
2 because of this lawsuit.

3 She asserts that summary judgment in her favor is proper
4 because the CPUC fails to substantiate the statutory basis for its
5 selection process. As stated above, the legal basis for the CPUC's
6 personnel procedures is not relevant to whether Plaintiff was
7 discriminated against on the basis of race. Moreover, because she
8 has the burden to prove her claims at trial, she must offer
9 evidence that, if undisputed, entitles her to prevail on her
10 claims; unless such a showing is made, the CPUC has no burden of
11 production. Plaintiff offers no evidence -- let alone
12 uncontroverted evidence -- that the CPUC has implemented an
13 unlawful promotion process that it uses to discriminate on the
14 basis of race.

15 Plaintiff also argues that the fact that she was not selected
16 for one of the four positions in the Energy Division warrants a
17 conclusion that she suffered race discrimination. However, as
18 explained above, the CPUC asserts that she did not attain the
19 highest SOQ score for those positions, which precludes judgment in
20 her favor.

21 Accordingly, the Court denies Plaintiff's motion for summary
22 judgment.

23 CONCLUSION

24 For the foregoing reasons, the Court GRANTS the CPUC's Motion
25 for Summary Judgment (Docket No. 284) and DENIES Plaintiff's motion
26 for a continuance pursuant to Rule 56(f) (Docket No. 348) and
27 motion for summary judgment (Docket No. 358). All remaining dates
28 are VACATED.

1 The Clerk shall enter judgment in favor of the CPUC, Arocles
2 Aguilar, Dana Appling, Robert J. Wullenjohn, the SPB, Gregory W.
3 Brown and Floyd D. Shimomura. Defendants shall recover costs from
4 Plaintiff. The Clerk shall also close the file.

5 IT IS SO ORDERED.

6
7 Dated: July 27, 2010



CLAUDIA WILKEN
United States District Judge

UNITED STATES DISTRICT COURT
FOR THE
NORTHERN DISTRICT OF CALIFORNIA

DONNA HINES,

Plaintiff,

v.

CALIFORNIA PUBLIC UTILITIES
COMMISSION, et al.,

Defendants.

Case Number: CV07-04145 CW

CERTIFICATE OF SERVICE

I, the undersigned, hereby certify that I am an employee in the Office of the Clerk, U.S. District Court, Northern District of California.

That on July 27, 2010, I SERVED a true and correct copy of the attached, by placing said copy in a postage paid envelope addressed to the person hereinafter listed, by depositing said envelope in the U.S. Mail, or by placing said copy into an inter-office delivery receptacle located in the Clerk's office.

Donna Hines
268 Bush Street, #3204
San Francisco, CA 94104

Dated: July 27, 2010

Richard W. Wieking, Clerk
By: MP, Deputy Clerk